IBM DOCKET NUMBER: AUS920010520US1

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Michael Wayne Brown
Andrew Douglas Hately
Kelvin Roderick Lawrence
Michael A. Paolini

For: **SPECIFYING AUDIO OUTPUT ACCORDING TO WINDOW GRAPHICAL CHARACTERISTICS**

Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Enclosed are:

__X__   Patent Specification and Number of Declarations (2)

__5__   sheets of drawing(s). Informal

__X__   An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).

_____   A certified copy of a _____ application.

__X__   Information Disclosure Statement, PTO 1449 and copies of references.

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				$740.00
Total Claims No.	27 - 20	7	x 18 =	$126.00
Indep. Claims No.	3 - 3	0	x 80 = (84)	$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED	0		x 270 =	$ 0.00
			TOTAL	$866.00

1

X   Please charge my Deposit Account No. 09-0451 in the amount of $866.00.
A duplicate copy of this sheet is enclosed.

X   The Commissioner is hereby authorized to charge payment of the
following fees associated with this communication or credit any
overpayment to Deposit Account 09-0451.   **A duplicate copy of this sheet is
enclosed.**

    X   Any additional filing fees required under 37 CFR §1.16.

    X   Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,

By _Marilyn Smith Dawkins_
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2

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PATENT:

SERIAL #:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:
 	
  Brown et al.
 	
Serial No.:
 	
Filed:
 	
Title: **SPECIFYING AUDIO OUTPUT ACCORDING TO WINDOW GRAPHICAL CHARACTERISTICS**
	: Group Art Unit:
	
	: Intellectual Property Law Department
	: International Business Machines Corp.
	  11400 Burnet Road
	: Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

    Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.
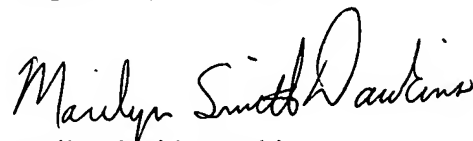
    While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

    In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

    The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

    A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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1

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In Place of FORM PTO-1449 (Modified)	

Serial No. _____
Applicant: <u>Brown et al.</u>
Filing Date: _____
Group: _____
Atty. Docket No. <u>AUS920010520US1</u>

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Reference Designation			U. S. PATENT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
_____ AA	US 5,463,775	10/31/95	System and Method for Performing Monitoring of Resources in a Data Processing System in Real Time	395	184.00 999999 999999	06/20/94
_____ AB	US 5,572,672	11/05/96	Method and Apparatus for Monitoring Data processing System Resources in Real-Time	395	184.00 999999 999999	07/12/95
_____ AC	US 5,651,107	07/22/97	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	395	344	08/16/94
_____ AD	US 5,764,229	06/09/98	Method of and System for Updating Dynamic Translucent Windows with Buffers	345	345	05/09/96
_____ AE	US 5,805,163	09/08/98	Darkened Transparent Window Overlapping an Opaque Window	345	345	04/22/96
_____ AF	US 5,889,530	03/30/99	Method and Apparatus for Dynamically Presenting Graphical Representation of Instrumentation	345	440	03/14/96
_____ AG	US 5,999,191	12/07/99	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	345	435	09/25/96
_____ AH	US 6,002,397	12/14/99	Window Hatches in Graphical User Interface	345	340	09/30/97
_____ AI	US 6,049,798	04/11/00	Real Time Internal Resource Monitor for Data Processing System	707	10	06/14/94

1

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Filing Date if Appropriate
BA	EP 0684542 A1		Europe	G06F	3/033	05/10/95

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Author	Title	Date	Pertinent Pages
CA	IBM Technical Disclosure Bulletin	"Pop-up Window Shadow"	Vol. 34, No. 6, November 1991	pp. 42-43
CB	IBM Technical Disclosure Bulletin	"Translucent Window Attribute"	vol. 36, no. 06A, June 1993	pp. 135-136
CC	IBM Technical Disclosure Bulletin	"Translucent Windows: Dragging an Image without Obscuring the Desktop:",	vol 37, No. 10, October 1994	p. 15
CD	IBM Research Disclosure 411119	"Reducing Desktop Clutter in GUI"	July 1998	p. 995
CE	IBM Research Disclosure 431180	"Progressively animated graphical overlays"	March 2000	p. 592
CF	IBM DOCKET AUS920010513US1	DISPLAYING SPECIFIED RESOURCE USAGE		
CG	IBM DOCKET AUS920010514US1	DISPLAYING TRANSPARENT RESOURCE AIDS		
CH	IBM DOCKET AUS920010515US1	SELECTIVELY ADJUSTING TRANSPARENCY OF WINDOWS WITHIN A USER INTERFACE		
CI	IBM DOCKET AUS920010516US1	CHANGING THE ALPHA LEVELS OF AN APPLICATION WINDOW TO INDICATE A STATUS OF A COMPUTING TASK		
CJ	IBM DOCKET AUS920010517US1	ADJUSTING THE TINT OF A TRANSLUCENT WINDOW TO CONVEY STATUS		
CK	IBM DOCKET AUS920010518US1	ADJUSTING TRANSPARENCY OF WINDOWS TO REFLECT RECENT USE		
CL	IBM DOCKET AUS920010519US1	DISPLAYING TRANSPARENCY CHARACTERISTIC AIDS		

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CM	IBM DOCKET AUS920010521US1	AUTOMATIC WINDOW REPRESENTATION ADJUSTMENT		
CN	IBM DOCKET AUS920010522US1	VARYING HEIGHTS OF APPLICATION IMAGES TO CONVEY APPLICATION STATUS		
CO	IBM DOCKET AUS920010524US1	SELECTIVELY ADJUSTING THE TRANSLUCENCY OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		
CP	IBM DOCKET AUS920010525US1	SELECTIVELY ADJUSTING THE ORDER OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		

Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.